

Non-vitamin K antagonist oral anticoagulants and atrial fibrillation guidelines in practice: barriers to and strategies for optimal implementation

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Stroke is a leading cause of morbidity and mortality worldwide. Atrial fibrillation (AF) is an independent risk factor for stroke, increasing the risk five-fold. Strokes in patients with AF are more likely than other embolic strokes to be fatal or cause severe disability and are associated with higher healthcare costs, but they are also preventable. Current guidelines recommend that all patients with AF who are at risk of stroke should receive anticoagulation. However, despite this guidance, registry data indicate that anticoagulation is still widely underused. With a focus on the 2012 update of the European Society of Cardiology (ESC) guidelines for the management of AF, the Action for Stroke Prevention alliance writing group have identified key reasons for the suboptimal implementation of the guidelines at a global, regional, and local level, with an emphasis on access restrictions to guideline-recommended therapies. Following identification of these barriers, the group has developed an expert consensus on strategies to augment the implementation of current guidelines, including practical, educational, and access-related measures. The potential impact of healthcare quality measures for stroke prevention on guideline implementation is also explored. By providing practical guidance on how to improve implementation of the ESC guidelines, or region-specific modifications of these guidelines, the aim is to reduce the potentially devastating impact that stroke can have on patients, their families and their carers.

Keywords Atrial fibrillation • Guidelines • Oral anticoagulants • Stroke prevention

Introduction

In 2012, stroke was estimated to have contributed to the deaths of ~6.7 million people worldwide, accounting for nearly 12% of all deaths.¹ Stroke causes permanent disability in nearly 5 million people each year.² Atrial fibrillation (AF) is a significant independent risk factor for stroke, associated with an approximately five-fold excess in risk, but is much less well recognized than, for example, hypertension, for which the excess stroke risk is three-fold.³ In addition, unlike most other cardiovascular risk factors for stroke, the pivotal Framingham Study found an increase in attributable risk due to AF from 1.5% in individuals aged 50–59 years to 23.5% for those aged 80–89 years.³ Atrial fibrillation is the most common sustained cardiac arrhythmia and represents a global problem (Figure 1).^{4–8}

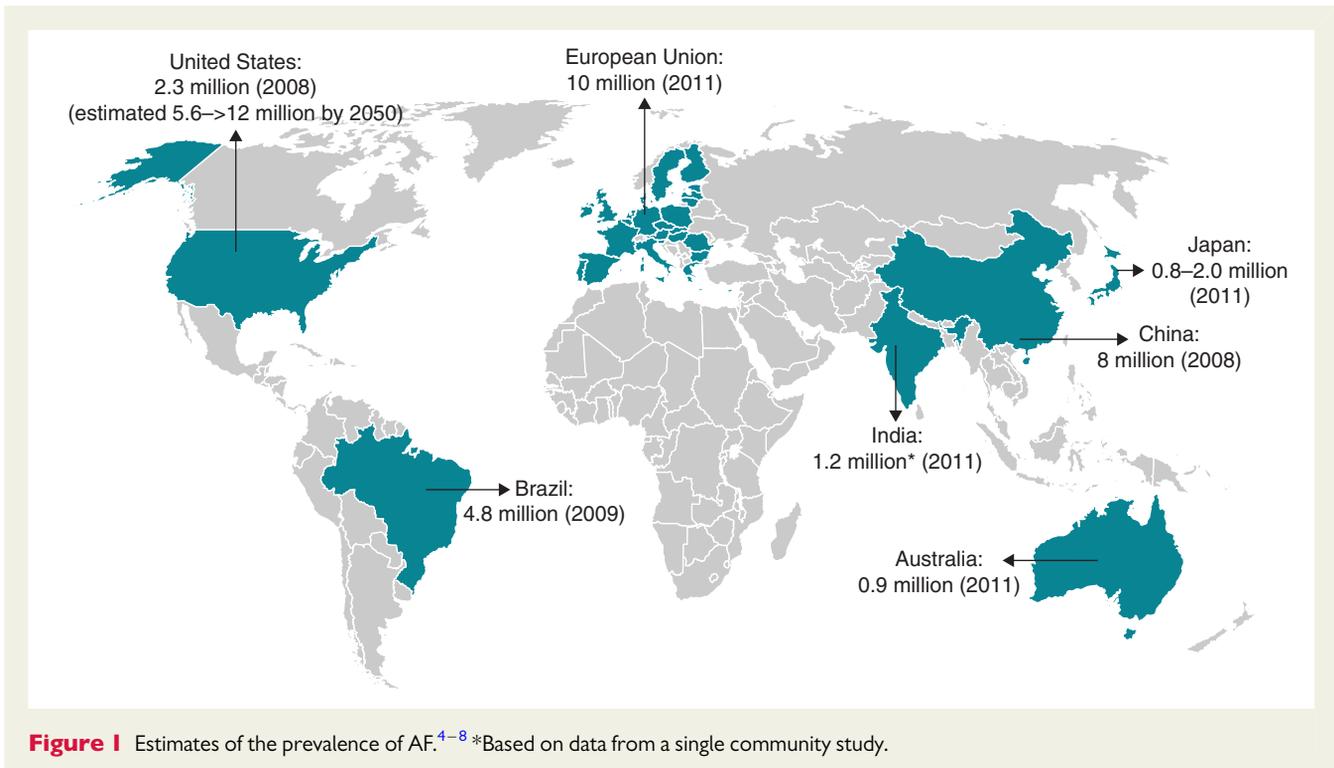
Patients with AF are five times more likely to have a stroke than the general population.³ Atrial fibrillation-related strokes are almost twice as likely to be fatal and, in survivors, cause severe disability, increase the length of hospital stay and decrease the likelihood of patients returning to their own home, compared with non-AF-related strokes.^{9,10} Atrial fibrillation-related strokes have also been associated with significantly higher mean direct costs per patient than non-AF-related strokes.¹¹ However, AF-related strokes can be prevented and their impact minimized by effective management strategies including increased detection of AF, adherence to stroke prevention guidelines and anticoagulant use in at-risk patients. Left atrial appendage occlusion may also have a role in patients who are unable to receive long-term anticoagulant management, but it is not a recommended alternative to anticoagulation *per se*.¹²

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Guidelines have an important role in optimizing evidence-based care, improving health outcomes for individuals and populations and decreasing costs to healthcare systems. Despite the risk of stroke in patients with AF, and the availability of clear global guidelines on the prevention of AF-related stroke since 1999, real-world data suggest that a large proportion of patients [38% of those with a CHADS₂ (Congestive heart failure, Hypertension, Age \geq 75 years, Diabetes mellitus, prior Stroke/transient ischaemic attack (doubled)) score of 2 in the Global Anticoagulant Registry in the FIELD-Atrial Fibrillation (GARFIELD-AF) Registry] are still not receiving stroke prophylaxis in line with guideline recommendations.¹³ With the increased convenience and improved benefit–risk profile of the non-vitamin K antagonist oral anticoagulants (NOACs; rivaroxaban, dabigatran, apixaban, and edoxaban), this situation may improve.

This consensus document aims to identify barriers to guideline implementation worldwide and to define clear strategies and practice models to help overcome these barriers, focusing on the European Society of Cardiology (ESC) guidelines for the management of AF published in *EP Europace* in 2012.¹² A Medline search was performed to identify guidelines for stroke prevention in patients with AF and barriers to guideline implementation, focusing on registry data but including individual studies where relevant. The Action for Stroke Prevention alliance writing committee also provided their own country-specific experiences and, based on the collated information, identified key barriers to guideline implementation and developed consensus strategies to help overcome these barriers.

Guidelines overview

A focused update of the 2010 ESC guidelines for the management of AF was issued in 2012.¹² This was partly in response to positive Phase III clinical trial data with the NOACs dabigatran, rivaroxaban, and

apixaban,^{14–16} and their subsequent approval for stroke prevention in at-risk patients with non-valvular AF. The NOACs have shown equivalent or improved efficacy compared with warfarin in randomized controlled trials, with reduction in the risk of severe bleeding events, in particular intracranial haemorrhage (ICH).^{14–17} In addition, they all offer fixed-dose regimens (with some dose reductions mandated in special populations, such as patients with renal impairment) that eliminate the need for the routine coagulation monitoring associated with vitamin K antagonists (VKAs). Edoxaban is currently the only one of these NOACs that is not yet widely approved in this indication. The ESC guidelines now recommend the use of the NOACs in most patients with a CHA₂DS₂-VASc [Congestive heart failure/left ventricular dysfunction, Hypertension, Age \geq 75 years (doubled) Diabetes, Stroke (doubled), Vascular disease, Age 65–74 years, Sex category (female)] score of \geq 1 in preference to VKAs (Figure 2), although in certain patients, e.g. those with severe renal impairment or underlying disease on echocardiogram, VKAs remain preferred. Antithrombotic treatment is not recommended in low-risk patients with a CHA₂DS₂-VASc score of 0.¹² However, the latter group represented only 3–7% of patients in two large cohort studies,^{13,18} indicating that the majority of patients with AF are candidates for oral anticoagulation.

In large Phase III studies, the currently approved NOACs showed similar or improved efficacy compared with warfarin for the prevention of primary stroke or systemic embolism.^{19–21} Several of the NOACs have also demonstrated benefits in the prevention of secondary strokes, with similar efficacy to warfarin, as well as reducing the incidence of ICH.^{19–21} The risk of a stroke recurrence is 2.5-fold higher in patients with AF who have already had a stroke or transient ischaemic attack.²² These patients may also be at increased risk of falls, have dementia or have limited access to international normalized ratio (INR) monitoring because of decreased mobility, making it problematic to ensure effective VKA therapy.

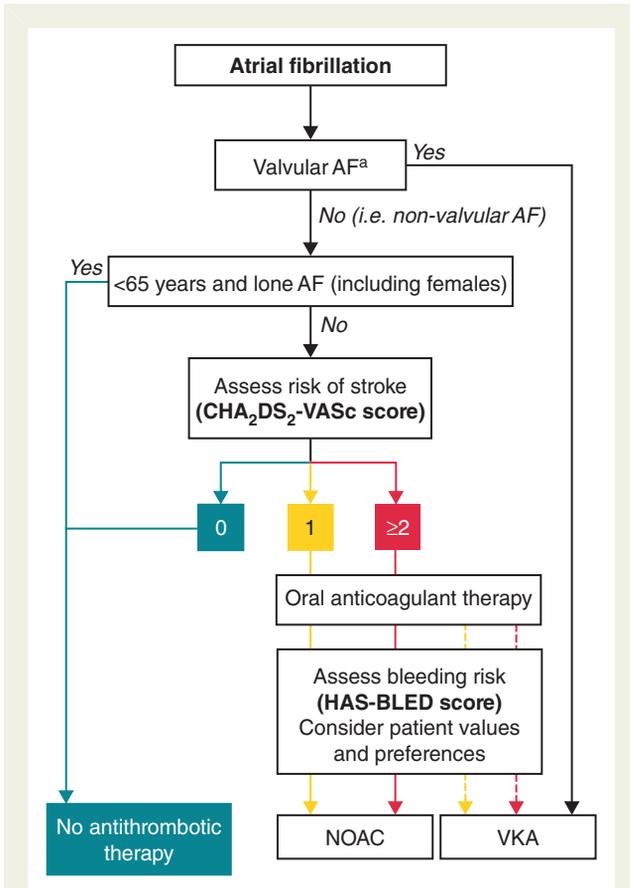


Figure 2 European Society of Cardiology (ESC) guideline recommendations for the prevention of stroke in patients with AF.¹² Antiplatelet therapy with ASA plus clopidogrel, or—less effectively—ASA only, should be considered in patients who refuse any oral anticoagulant or cannot tolerate anticoagulants for reasons unrelated to bleeding. If there are contraindications to oral anticoagulation or antiplatelet therapy, left atrial appendage occlusion, closure, or excision may be considered. CHA₂DS₂-VASc score: turquoise, 0; yellow, 1; red, ≥2. Line: solid, best option; dashed, alternative option.^aIncludes rheumatic valvular disease and prosthetic valves. AF, atrial fibrillation; ASA, acetylsalicylic acid; CHA₂DS₂-VASc, ESC-recommended stroke risk score [Congestive heart failure/left ventricular dysfunction, Hypertension, Age ≥ 75 years (doubled) Diabetes, Stroke (doubled), Vascular disease, Age 65–74 years, Sex category (female)]; HAS-BLED, [ESC-recommended bleeding risk score, defined as Hypertension, Abnormal renal/liver function, Stroke, Bleeding history or predisposition, Labile INR, Elderly (e.g. age > 65 years, frailty, etc.), Drugs/alcohol use]; NOAC, non-vitamin K antagonist oral anticoagulant; VKA, vitamin K antagonist. From Camm *et al.* 2012 focused update of the ESC Guidelines for the management of atrial fibrillation. *European Heart Journal* Nov 2012, **33**(21) 2719–2747. Reproduced with permission of Oxford University Press (UK) © European Society of Cardiology, www.escardio.org/guidelines

In addition to incorporation of the NOACs into the recommendations, another significant change to the ESC guidelines was a move away from the use of the CHADS₂ score to risk-stratify patients with AF, in favour of the CHA₂DS₂-VASc score. This was based on evidence that the CHA₂DS₂-VASc score could be used to more

accurately identify truly ‘low-risk’ patients, who would not require antithrombotic therapy.¹²

The 2012 guidelines also recommend the use of the HAS-BLED [Hypertension, Abnormal renal/liver function, Stroke, Bleeding history or predisposition, Labile INR, Elderly (e.g. age > 65 years, frailty, etc.), Drugs/alcohol use] score to assess bleeding risk, but highlight that it should focus efforts on improving the modifiable risk factors for bleeding and should not be used to exclude patients from oral anticoagulant therapy.¹²

The simultaneous use of old and new guidelines, or of guidelines issued by different organizations, at either a global or regional level, can lead to a degree of confusion or contradictory guidance. In addition, recommendations may differ between guidelines because of variations in the populations for which they are intended. However, recommendations for antithrombotic therapy are, for the most part, consistent between the major guidelines. In 2012, the American College of Chest Physicians (ACCP) issued guidelines on antithrombotic therapy for AF, which provide similar recommendations to the ESC guidelines (based on CHADS₂ scoring for risk assessment), including a preference for NOACs over adjusted-dose VKAs in at-risk patients.²³ Older guidelines, such as those jointly issued by the American College of Cardiology (ACC), the American Heart Association (AHA), and the ESC in 2006, were developed long before the NOACs were available to clinicians and, in contrast with newer guidelines, recommend acetylsalicylic acid (ASA) as an alternative to warfarin in patients with one moderate risk factor for stroke.²⁴ Updated ACC/AHA guidance, issued in 2014, recommends oral anticoagulation for patients with prior stroke/transient ischaemic attack or CHA₂DS₂-VASc score ≥ 2, whereas patients with a score of 1 may be given oral anticoagulation, ASA, or no antithrombotic therapy.²⁵ In 2013, the European Heart Rhythm Association published a practical guide on the use of NOACs in patients with non-valvular AF²⁶ based on the 2012 ESC recommendations.

Country-specific guidelines, such as those from the National Institute for Health and Care Excellence (NICE) in the UK, recommend apixaban, dabigatran, and rivaroxaban as options for the prevention of stroke or systemic embolism in patients with AF.²⁷ A focused update of the Canadian Cardiovascular Society (CCS) Atrial Fibrillation Guidelines, published in 2012, recommends NOACs over other oral anticoagulants in eligible patients.²⁸ In the Asia-Pacific region, several countries have country-specific guidelines for the management of patients with AF but not all yet incorporate recommendations for the use of NOACs. However, the 2014 Japanese guidelines largely support the ESC 2012 guidelines, as does a consensus statement issued in 2013 by the Asia Pacific Heart Rhythm Society on antithrombotic therapy in patients with non-valvular AF.²⁹ Information provided by other organizations, such as the National Stroke Association in the UK or the Canadian Agency for Drugs and Technologies in Health, who issue therapeutic reviews, may also influence clinical practice at a national level.

Guideline implementation and barriers to implementation

Benefits of compliance with guidelines

A criticism of guidelines is that they sometimes rely on evidence that is relatively weak and/or studies that have been conducted with

inconsistent methodologies. However, the evidence for the use of NOACs in patients with non-valvular AF comes from very large, multicentre, randomized controlled Phase III studies,^{14–16} and the primary recommendations made by the ESC in 2012 on anticoagulation in patients with AF generally carried a high level (A or B) of evidence.¹² Nevertheless, prospective clinical data for the NOACs are lacking in some specific areas; e.g. a prospective randomized study of rivaroxaban for patients with AF undergoing cardioversion has been published recently,³⁰ but a similar trial for apixaban (NCT02100228) is only just getting underway, and there is no such prospective study planned for dabigatran. Studies to address other data gaps with the NOACs are ongoing.

Published studies support the benefits of compliance with guideline recommendations for anticoagulation in patients with AF. A cross-sectional study of patients admitted to the University of Maastricht Medical Centre between 2003 and 2006 found that 51% of those with known AF who were eligible for oral anticoagulation did not receive it, and that improved adherence to guidelines could potentially have prevented 22% of subsequent ischaemic strokes.³¹ More recently, a real-world cohort-based study of almost 9000 patients recruited over 10 years found low annual rates of stroke or thromboembolism (0.64%), major bleeding (1.12%), and death (1.08%) among untreated patients classified as low risk according to the ESC 2012 guidelines (i.e. CHA₂DS₂-VASc score 0).¹⁸ These data support the ESC recommendation that these patients should not receive antithrombotic therapy. Furthermore, simulations of patient outcomes from the RE-LY

database using ESC-recommended dabigatran treatment protocols found a significant net clinical benefit compared with warfarin, supporting the ESC recommendations.³²

Compliance with guidelines in practice

Registry data offer valuable insights into patterns of drug use and can provide a means of tracking uptake of guideline recommendations. For example, global data from the GARFIELD-AF Registry, collected between 2009 and 2011, indicated that ~27% of all patients with AF were still receiving ASA therapy, compared with ~60% who received oral anticoagulation (Table 1).¹³ However, observational data from the European PREFER AF (PREvention of thromboembolic events—European Registry in Atrial Fibrillation) registry collected between 2012 and 2013 suggest that the introduction of the 2010 ESC guidelines was associated with a move away from the use of ASA to oral anticoagulation.⁴⁰ Other European registry data show variation in oral anticoagulation use depending on when the data were collected and from which country or countries (Table 1).^{35–41} Outside of Europe, US registry data indicate a low use of oral anticoagulation across all stroke risk categories.⁴³ Data from the REACH registry (REduction of Atherothrombosis for Continued Health) demonstrated the lower use of oral anticoagulation in patients with AF recruited from Asia (excluding Japan) compared with those recruited from Japan and non-Asian regions.⁴⁵ These differences highlight that oral anticoagulant use is not yet consistent at a global, country, or regional level and may be influenced by factors such as the specialty

Table 1 Atrial fibrillation registries and surveys

Registry or study	Guidelines	Patients with AF, n	Country/region	Data collection, year	OAC use (%)
GARFIELD-AF ¹³	Multiple	10 614	Global	2009–2011	~60
RE-LY AF ³³	Multiple	15 400	Global	2008–2011	30
GLORIA-AF ³⁴	Multiple	~56 000	Global	2011 onwards	Awaiting data
Euro Heart Survey on AF ³⁵	ACC/AHA/ESC 2001 and ACCP 2004	5333	Europe	2003–2004	64
AFNET ³⁶	ACC/AHA/ESC 2001	9582	Germany	2004–2006	71
ATRIUM ³⁷	ACC/AHA/ESC 2001 and ACCP 2008	3667	Germany	2009	83
Prospective non-interventional study ³⁸	Not specified	2753	Germany	2010	64–73 ^a
ISAF ³⁹	Not specified	6036	Italy	2011	46
PREFER AF ⁴²	ESC 2010	7243	Europe	2012–2013	82
Retrospective, cohort study ⁴³	ACC/AHA/ESC 2006 and ACCP 2008	171 393	USA	2003–2007	43
ORBIT-AF ⁴⁴	ACC/AHA/ESC 2006 and ACCP 2008	10 098	USA	2010–2011	76
REACH ⁴⁵	Not specified	~300	Asia (ex. Japan)	2006–2011	36
REACH ⁴⁵	Not specified	~350	Japan	2006–2011	54
REACH ⁴⁵	Not specified	~6000	Global (ex. Asia)	2006–2011	55

ACC, American College of Cardiology; ACCP, American College of Chest Physicians; AF, atrial fibrillation; AFNET, Central Registry of the German Competence NETWORK on Atrial Fibrillation; AHA, American Heart Association; ATRIUM, Outpatient Registry Upon Morbidity of Atrial Fibrillation; CHADS₂, Congestive heart failure, Hypertension, Age ≥ 75 years, Diabetes mellitus, prior Stroke/transient ischaemic attack (doubled); ESC, European Society of Cardiology; GARFIELD-AF, Global Anticoagulant Registry in the FIELD; GLORIA-AF, Global Registry on Long-Term Oral Antithrombotic Treatment in Patients with Atrial Fibrillation; ISAF, Italian Network of Atrial Fibrillation Management survey; OAC, oral anticoagulant; ORBIT-AF, Outcomes Registry for Better Informed Treatment of Atrial Fibrillation; PREFER AF, PREvention of thromboembolic events—European Registry in Atrial Fibrillation; REACH, REduction of Atherothrombosis for Continued Health; RE-LY AF, Randomized Evaluation of Long-term anticoagulant TherapY.

^aCHA₂DS₂ score ≥ 2 (includes low molecular weight heparin).

of the physicians enrolling patients into the registries and the degree of awareness of updated guidelines.

Patient and physician-related barriers

Registries can also provide useful information on the reasons for non-adherence to guidelines and identify barriers to their adoption. GARFIELD-AF highlighted that nearly half of patients with a CHADS₂ score ≥ 2 were not receiving VKA therapy because of physician choice, specifically because of concerns over bleeding risk, patient compliance, uncertainty regarding guideline recommendations, fall risk or low risk of stroke.¹³ Patient factors, such as alcohol misuse, medication refusal, unsuitable co-medication use, or previous bleeding events, were the reasons for not initiating VKA therapy in <16% of cases.¹³ Similar reasons were given for warfarin discontinuation in the Outcomes Registry for Better Informed Treatment of Atrial Fibrillation (ORBIT-AF).⁴⁶ Similarly, in the Atrial Fibrillation Clopidogrel Trial with Irbesartan for Prevention of Vascular Events (ACTIVE) A trial, which enrolled patients who were considered unsuitable for VKA therapy, the primary reason for enrolment in the trial (accounting for ~50% of patients) was physician judgement that a VKA was inappropriate. Patient preference accounted for ~26% of patients enrolled, and specific risk of bleeding for ~23% of patients.⁴⁷ In the Apixaban vs. Acetylsalicylic Acid to Prevent Strokes in Atrial Fibrillation Patients Who Have Failed or Are Unsuitable for Vitamin K Antagonist Treatment (AVERROES) study, the most frequent reasons for not prescribing VKAs were physician judgement that INR monitoring could not be achieved at the requisite frequency (43%) and patient preference (37%).⁴⁸

The Stroke and Atrial Fibrillation Ensemble (SAFE) II study found that having a younger general practitioner and being followed up by a cardiologist were independently associated with the prescription of oral anticoagulants.⁴⁹ The presence of potential contraindications, lack of an indication, low compliance, and fear of bleeding were reasons given for non-prescription.⁴⁹ These findings highlight that the lack of physician awareness about oral anticoagulation and how to manage complications is a key barrier to adoption of guideline-recommended therapies. A general survey of Dutch general practitioners also identified environmental factors such as organizational constraints, lack of time, and lack of resources as prominent barriers to guideline adherence.⁵⁰ Furthermore, uptake of NOACs may be limited by a physician preference for close INR monitoring, particularly in elderly or frail patients.

Another barrier to the adoption of guidelines arises when they are not considered applicable at a country level, possibly because of regional heterogeneity in patient baseline characteristics (e.g. a high proportion of patients not meeting the criteria for recommended treatments or a perception that the studies underpinning recommendations are based on non-representative populations), differences in current standards of care or cultural perceptions of risk vs. benefit of the intervention. For example, in some Asian countries, such as Japan, the recommended target INR in patients with AF ≥ 70 years of age is lower (1.6–2.6) than the recommended target of 2.0–3.0, which is used more widely.⁵¹ Similarly, a lower 15 mg once-daily dose of rivaroxaban (or 10 mg once-daily in patients with creatinine clearance 30–49 mL/min) was specifically tested in Japanese patients.⁵²

Access to guideline-recommended therapies

In some countries, prescribing of the NOACs is restricted at a national, regional, or local level. For example, the National Health Service for Scotland limits the prescription of rivaroxaban to patients who appear compliant with coumarin therapy and yet still have poor INR control, as well as to those who are allergic to or are unable to tolerate coumarins.⁵³ In the UK, the Department of Health follows the NICE guidelines rather than the ESC guidelines. However, even though the NOACs are recommended as a therapeutic option by NICE, many clinical commissioning groups or regional prescribing groups in the UK interpret this 'option' as second line, with warfarin compulsory as first-line therapy.^{54,55} In the UK and Ireland, patients who are closer to the hospital and can attend clinics regularly for coagulation monitoring and dose adjustments are also more likely to receive VKAs than those who live further away. In Eastern European countries (e.g. Hungary), the National Health Service limits the prescription of NOACs to patients who have had a previous stroke or patients with poor INR control on coumarin therapy.⁵⁶ This restriction is in place because the first-line use of the NOACs is considered financially prohibitive.

Restricted access can also be a result of administrative barriers. For example, in Italy and Hungary, a limited number of specialists are allowed to prescribe the NOACs; to finalize the prescription, these specialists are required to fill out an electronic case report form, contributing to a compulsory national survey (www.agenziafarmaco.gov.it). This is a time-consuming process and, as such, the national Regulatory Authorities have indirectly discouraged routine implementation of the ESC guidelines for antithrombotic treatment. The bureaucratic situation in Italy is mirrored in other countries: in Ireland and some parts of England, justification forms must be completed to allow physicians to prescribe the NOACs and, in Spain, patients must have an INR that is recorded to be out of range three times in a row before a patient can be prescribed any of these drugs, and this may take weeks.

Access issues can also arise when updates to guidelines are delayed. For example, the European Stroke Organisation (ESO) has not updated its guidelines on secondary stroke prevention since 2008,⁵⁷ and so the NOACs are not included. This influences the daily practice of stroke specialists: in some Eastern European countries, for instance, physicians face financial penalties or even imprisonment if they are not compliant with the guidelines recommended for their specialty, even if the guidelines are outdated and do not reflect the latest clinical advances in the field. In cases such as these, pre-existing guidelines, though relevant when they were published, are themselves a barrier to adopting new approaches or therapies. An update to the ESO guidelines is anticipated.

Even if guidelines are up to date, it does not necessarily guarantee access to recommended therapies. For example, a group of Spanish medical experts developed a consensus document in line with the ESC guidelines that was approved by the Spanish Ministry of Health for national use.⁵⁸ However, access to NOACs is still often restricted by local authorities because warfarin is considered to be as effective as the NOACs and is a low-cost drug, albeit with high monitoring costs. Therefore, the newer drugs are often limited to patients

who are unstable on warfarin or who have had an ischaemic stroke and are at high risk of ICH.

Financial barriers to guideline-recommended therapies

One of the major reasons for restricted access to new guideline-recommended therapies is perceived cost. Restrictions tend to be made based on a consideration of short-term budget impact, such as the lower acquisition costs of VKAs compared with the NOACs, rather than the potential longer term economic impact of events that might have been prevented. Non-vitamin K antagonist oral anticoagulants do not require routine coagulation monitoring, which, in one US study, was required to cost between \$291 and \$943 annually per patient.⁵⁹ The National Institute for Health and Care Excellence has estimated the annual cost of INR monitoring, including transport costs, at £656 in the first year and £540 thereafter.⁶⁰ Longer term cost savings relate to the direct costs of managing the consequences of anticoagulation, which are summarized in *Table 2*. For example, considering that NOACs reduce the risk of ICH by at least half compared with warfarin, their use could contribute substantially to long-term cost savings (*Table 3*). A study in Denmark published in 1999 estimated that, after ICH, the mean total cost of healthcare and social services during the first year was 123 200 DKK or US\$22 000.⁶³ More recently, a study in the USA analysing medicine and pharmacy claims for patients with AF estimated the mean unadjusted

all-cause health costs in the year after a warfarin claim to be \$41 903 for patients with at least one ICH.⁶⁴ Despite this, it is often difficult in practical terms to implement a scheme in which a more expensive therapy is paid for from one budget (in this case, drug costs) to provide cost savings that relate to a separate budget (blood tests and monitoring).

The lack of monitoring of the NOACs may also represent a financial barrier to their implementation. In some countries, primary care practices and anticoagulation clinics receive a financial incentive for providing VKA monitoring services, which could be considered under threat with the introduction of the NOACs. However, with appropriate training of personnel, these facilities could be repurposed to take on a role in the initiation and management of the NOACs and management of co-morbidities and to provide valuable guidance and reassurance to patients about their anticoagulation care. Indeed, overall risk factor control, not limited to the use of NOACs, is the most important therapeutic intervention for patients with AF.

Financial barriers to appropriate VKA management specific to individual countries or regions also exist. In many Eastern and Central European countries, both INR monitoring and travel costs (for blood sampling) are covered by the healthcare system. However, blood sampling takes place in large centralized laboratories that are often a long distance from where the patient lives, meaning that substantial work time is lost through travelling to and from appointments; this can also have a negative impact on patient compliance.

Table 2 Overview of event costs. Adapted from Kleintjens et al.⁶¹

Event ^a	Acute (per event) (€)	Rehabilitation (per event) ^b (€)	Long-term follow-up (per 3 months) (€)
Minor stroke	5946	3204	244
Major stroke	12 247	17 734	2216
Systemic embolism	5124	Not reported	Not reported
Clinically relevant non-major extracranial bleeding event	23	Not reported	Not reported
Major extracranial bleeding event	3510	Not reported	Not reported
Intracranial bleeding event ^c	7699	17 734	2216
Myocardial infarction	7891	Not reported	Not reported

^aThe range of event costs tested in sensitivity analyses was $\pm 25\%$ of the mean.

^bBased on unpublished results (K. Putman, personal communication).

^cBased on market share and prices of locally available brands.

Table 3 Absolute percentage annual risk of ICH stratified by stroke risk in patients with non-valvular AF receiving oral anticoagulation therapy for stroke prevention. Adapted from Rognoni et al.⁶²

	Intracranial bleeding, absolute annual risk (%)		
	CHADS ₂ ≤ 1	CHADS ₂ = 2	CHADS ₂ ≥ 3
Warfarin	0.48	0.65	1.01
Rivaroxaban (20 mg od)	Not investigated	0.44	0.68
Apixaban (5 mg bid)	0.2	0.27	0.42
Dabigatran (150 mg bid)	0.2	0.26	0.52

bid, twice daily; CHADS₂, Congestive heart failure, Hypertension, Age ≥ 75 years, Diabetes mellitus, prior Stroke/transient ischaemic attack (doubled); od, once daily; ICH, intracranial haemorrhage.

In Brazil and many South East Asian countries, only 30% of patients have private health insurance and, therefore, have access to the newer therapies for stroke prevention in patients with AF. In contrast, patients treated in the public sector typically continue to receive warfarin or another VKA because these drug costs are supported by the healthcare system. In Brazil and Mexico, the local authorities can subsidize more expensive medications, but it takes time to get new therapies included on these lists and, because it is not a national responsibility, differences in access exist between local authorities.

In cost-effectiveness analyses, the NOACs have been shown to be cost-effective compared with warfarin.^{61,65–74} Robust and well-designed cost-effectiveness analyses can be important when arguing the case for consideration of new therapeutic options with policy makers. However, these analyses are not without limitations. Their general applicability can be limited because of differences in healthcare systems between countries. Furthermore, cost-effectiveness analyses may be inadequate because they do not take into consideration all factors, particularly indirect costs including loss of work for patients or carers due to INR clinic visits and associated travel costs.⁷⁵ Additionally, even demonstrating the cost-effectiveness of a drug within the parameters defined in the analysis may not provide a comparison of cost-effectiveness relative to other established treatments.

In addition to their cost-effectiveness, the relative effectiveness and safety of the NOACs compared with the VKAs is an important benefit. The convenience to patients in terms of lack of monitoring and dietary restrictions, which is likely to improve persistence and adherence and therefore clinical outcomes, should also not be underestimated.

Best practice in European Society of Cardiology guideline implementation

Registry data highlight that it can take several years for guideline recommendations to be implemented in clinical practice, and even then, recommendations may not be applied properly or consistently. It is also clear that there is wide variation between countries regarding which recommendations are implemented and how this is achieved. When the writing committee rated the importance of certain factors for guideline implementation, variation between countries did exist, but factors such as AF screening, diagnosis, and stroke risk assessment were rated of high importance across the group.

The barriers to guideline implementation that the group identified (summarized in Table 4) fall into three main categories: practical, educational, and access related. Practical issues relate primarily not only to optimal diagnosis and risk stratification of patients with AF but also to the applicability of the ESC guidelines to non-European populations. Lack of awareness of the ESC guidelines and delays in updates to local or national guidelines are the key educational barriers that exist, despite the efforts of the ESC to promote its guidelines through various methods, including ‘train the teacher’ programmes, ESC guideline implementation toolkits, and mobile pocket guidelines. Another educational barrier stems from the variety of information available about the NOACs, which can lead to confusion over their specific properties and use protocols. Access-related issues are primarily due to cost and also include other factors that have been discussed above.

Table 4 Barriers to implementation of ESC 2012 guidelines

Barrier
Practical
<ul style="list-style-type: none"> • Under-diagnosis of AF because of lack of access to diagnostic tools for AF (e.g. Holter monitoring) • Not screening using the most efficient technique, e.g. loop monitoring for paroxysmal AF • Underestimation of thromboembolic risk • Applicability of ESC guidelines to non-European populations
Educational
<ul style="list-style-type: none"> • Lack of widespread awareness of ESC 2012 Guidelines (coupled with use of other/pre-existing guidelines) • Delay in updates of local guidelines to reflect major environmental changes for practice • Fear of major bleeding/lack of validated scores to evaluate bleeding risk (HAS-BLED was developed based on VKA studies) • Lack of technical expertise • Development and availability of multiple NOACs in a relatively short timeframe has led to confusion about protocols for use and the specific properties of each drug <ul style="list-style-type: none"> – Exacerbated by manufacturers providing different information about the drugs in the prescribing information vs. the summary of product characteristics and using different marketing approaches – Influenced by media reports, e.g. reports of severe bleeding when dabigatran was first introduced
Access
<ul style="list-style-type: none"> • Budget restrictions and/or reimbursement issues with NOACs • Limitations/restrictions on patients considered eligible for NOACs that are inconsistent with broader guideline recommendations • Limitations of prescriber eligibility • Administrative hurdles associated with prescription of NOACs (e.g. completion of paperwork and justification of the clinical decision)

AF, atrial fibrillation; HAS-BLED, Hypertension, Abnormal liver/renal function, Stroke history, Bleeding predisposition, Labile INR, Elderly (age >65 years), Drug/alcohol use; NOAC, non-vitamin K antagonist oral anticoagulant; VKA, vitamin K antagonist; INR, international normalized ratio.

In light of these barriers, the Action for Stroke Prevention alliance writing committee recommends several best-practice strategies (summarized in Table 5) to improve adherence to the ESC guidelines (or their region-specific modification) and access to guideline-recommended therapies.

Measuring healthcare quality for stroke prevention: potential role in guideline implementation

Registries provide information on whether guidelines have been implemented. However, could healthcare quality measures be used to drive implementation of, and adherence to, guidelines as they are issued? Unfortunately, data on the use of healthcare quality measures to improve stroke prevention in patients with AF are generally limited, although national audit data are available in some countries, highlighting gaps in care for stroke prevention.^{76,77}

In the USA, there are several initiatives aimed at assessing the quality of stroke care, including the Stroke Practice Improvement Network, The Paul Coverdell National Acute Stroke Registry,

Table 5 Best-practice strategies for implementation of the ESC 2012 guidelines and rationale for such strategies

Strategy	Rationale
Practical	
Develop hospital and department protocols and checklists based on national/local guidelines and implement quality indicators	Provides clinical practical guidance for day-to-day management of patients with AF and allows measurement of guideline adherence
Regular multidisciplinary team meetings and local quality audits	Allows assessment of individual patients and can act as an internal check to ensure they are being managed in line with guideline recommendations
Plan follow-up visits and laboratory check-ups	Enhances peer-to-peer learning experience
Provide clear practical guidance on the use of NOACs	Ensures patients are compliant with guideline-recommended therapy and reduces the risk of complications
Implement CHA ₂ DS ₂ -VASc and bleeding risk checklists before prescribing NOACs and at every follow-up visit	Provides reassurance for physicians not experienced in the use of these drugs
Implement compliance checks, e.g. specific questions, pill 'counting', diary completion, SMS messages or alarm calls to take tablets	Ensures identification of patients suitable for antithrombotic therapy and those at increased risk of bleeding
Educational	
Regularly disseminate ESC/national and local guideline information and updates	Ensures patients are compliant with guideline-recommended therapy, improves adherence and reduces the risk of complications
Develop timely country-specific/local guidelines based on the ESC recommendations	Raises awareness of guidelines
Re-train/educate nurses currently involved in anticoagulation/warfarin clinics to take on a more general role in initiation and management of NOACs	Allows recognition of country-specific requirements, such as access, so that guidelines are compatible with local conditions
Develop simple algorithms for specific populations of patients with AF, as per Figure 2 (e.g. post-ischaemic stroke, post-haemorrhagic stroke, geriatric patients)	Can provide an established point of contact through which patients can receive advice on anticoagulation with the NOACs
Inform physicians on how to educate patients on the importance of adherence to therapy	Provides guidance on when and how to start NOACs and for how long in these patients
Access	
<i>Approach the responsible person within your healthcare system to:</i>	Limits the likelihood of non-adherence to guideline-recommended protocols
Highlight to key target groups (e.g. budget holders, policy makers, formulary gate keepers, the media, patient groups) the potential impact of not providing access to guideline-recommended therapies, from both financial and clinical perspectives	Raises awareness that AF is a significant risk factor for stroke and that AF-related stroke is preventable
Perform country-specific cost-effectiveness analyses of the NOACs	Provides payers/budget holders with more robust evidence to consider the use of the NOACs as first-line therapy
Educate payers/budget holders about better utilization of anticoagulants, including NOACs, highlighting potential long-term cost benefits	—
Inform politicians, patient groups and the media about differences in access to AF stroke prevention treatment within regions or countries	Puts pressure on policy makers to provide equality of care for stroke prevention in patients with AF with regards to medication
Lobby parliamentary and healthcare bodies for equality of access to guideline-recommended therapies globally or across regions	

AF, atrial fibrillation; CHA₂DS₂-VASc, Congestive heart failure/left ventricular dysfunction, Hypertension, Age ≥ 75 years (doubled), Diabetes, Stroke (doubled), Vascular disease, Age 65–74 years, Sex category (female); NOAC, non-vitamin K antagonist oral anticoagulant; SMS, short message service; ESC, European Society of Cardiology.

and the 'Get with the Guidelines' programme. Likewise, in Australia, the national prescribing service MedicineWise is a programme that aims to improve cardiovascular management in primary care. However, although the use of healthcare quality measures has shown improvements in care and adherence to guidelines in some cases, methods for implementing these improvements have varied and the results have not been consistent.^{78–80} In Japan, the Japanese Stroke Databank is a patient oriented, academically controlled database aimed at establishing rigorous evidence for the quality improvement of stroke care (<http://cvddb.med.shimane-u.ac.jp>). Physicians register data on their own academic incentive and the databank is endorsed by the representative of stroke patients, providing a successful collaboration between patients

and physicians to overcome stroke. In the UK, the Quality and Outcomes Framework incentivizes providers for the provision of high-quality care, against explicit clinical indicators and defined targets, and helps to standardize improvements in the delivery of primary medical services. This system is currently being used to improve records of patients with AF and their ongoing management and could be applied to improving the use of NOACs for stroke prevention in patients with AF.

Until validated and standardized healthcare quality measures for stroke prevention in patients with AF are developed, the role of such measures in driving ESC guideline implementation is limited. However, measures developed at a local or hospital level could be of benefit and warrant further investigation.

Limitations of these recommendations

It should be noted that, although the majority of the evidence cited in this document comes from published clinical studies or real-world investigations, some of the country-specific information, and the barriers identified as a result, are based on personal evidence provided by writing committee members. The recommendations provided represent the opinion of the group, and would require practical implementation to test their robustness and applicability to different healthcare scenarios. As noted above, the lack of certain standardized structures may prevent some recommendations from being implemented in practice at this time.

Conclusions

Atrial fibrillation-related stroke is potentially preventable and, through better implementation of the 2012 ESC guidelines, its impact on patients, their families, and carers, and healthcare systems can be reduced. However, this cannot be achieved without increased detection of patients with AF and the increased use of anticoagulation in those assessed to be at moderate or high stroke risk, using either well-controlled warfarin or, preferably, NOACs. Providing patients with a choice of therapy and allowing physicians to prescribe the most appropriate anticoagulant for their patients will only be possible if there is access to all guideline-recommended therapies. In outlining practical measures that physicians and medical health societies working in this field can instigate to improve guideline implementation, we believe that substantial benefits, in terms of improved patient outcomes and reduced healthcare burden, can be achieved.

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